

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the PATENT application of

Martin Keller et al.

Serial No. To Be Assigned

Filed: Herewith

Title: Self Tuning Database Retrieval Optimization Using Regression Functions

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

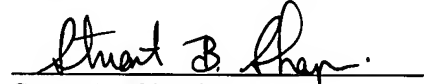
Sir:

Pursuant to the duty imposed by 37 C.F.R. §1.56 to disclose information which may be material to patentability of the above-identified patent application, the Examiner's attention is directed to the documents listed on the enclosed Information Disclosure Citation Form. Copies of the foreign patent documents and/or non-patent literature listed thereon are enclosed herewith. The subject Information Disclosure Statement is being filed without copies of the listed U.S. Patents and/or U.S. patent application publications pursuant to the waiver of this requirement for applications filed after June 30, 2003.

Since this Information Disclosure Statement is being filed in compliance with 37 C.F.R. 1.97(b), within three (3) months of the filing date of the above-identified application and/or prior to the mailing date of a first Office Action on the merits, no fee is required in connection with its filing. However, the Commissioner is hereby authorized to charge payment of any fees required for the above-identified application or credit any overpayment to Deposit Account No. 09-0460.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Respectfully submitted,

A handwritten signature in cursive script, appearing to read "Stuart B. Shapiro", is written over a horizontal line.

Stuart B. Shapiro

Registration No. 40,169

EDELL, SHAPIRO & FINNAN, LLC  
1901 Research Boulevard, Suite 400  
Rockville, Maryland 20850-3164  
(301) 424-3640

Hand-delivered: 11-25-03



Please type a plus sign (+) inside this box → +

PTO/SB/08A (08-00)

Substitute for form 1449B/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>				<b><i>Complete if Known</i></b>	
				<b>Application Number</b>	Not Yet Assigned
				<b>Filing Date</b>	Herewith
				<b>First Named Inventor</b>	Martin Keller
				<b>Group Art Unit</b>	Unknown
<b>Examiner Name</b>	Unknown				
Sheet	2	of	2	<b>Attorney Docket Number</b>	0920.0059C

OTHER DOCUMENTS – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		LISE GETOOR, et al.; "Selectively Estimation Using Probabilistic Models"; ACM SIGMOD 2001, May 21 24, Santa Barbara, California, 12 pages.	

<b>Examiner Signature</b>		<b>Date Considered</b>	
---------------------------	--	------------------------	--

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number.

<sup>2</sup> Applicant is to place a check mark here if English language Translation attached.